

01-14-02

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MULLIGAN, ET AL. Group Art Unit: 2681  
 Examiner: Unknown Docket No. 552.119US11  
 Title: NETWORK SERVICES BROKER SYSTEM AND METHOD

## CERTIFICATE UNDER 37 C.F.R. 1.10:

'Express Mail' mailing number: EL 887039327 US

Date of Deposit: January 11, 2002

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

By: Lee Thao

Lee Thao

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

**REQUEST FOR CONTINUATION-IN-PART APPLICATION**  
**UNDER 37 C.F.R. §1.53(b)**

This is a request for filing a continuation-in-part application under 37 C.F.R. §1.53(b) of prior pending application number 09/996,406 filed on November 20, 2001 entitled WEB SERVICES PUSH GATEWAY by the following inventors:

| Full Name of Sole or First Inventor     |  |  |
|---|--|--|
| Family Name<br>Mulligan                 | First Given Name<br>Michael              | Second Given Name                                |
| Residence and Citizenship               |  |  |
| City of Residence<br>Tampere            | State or Country of Residence<br>Finland | Country of Citizenship<br>Ireland                |
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| Full Name of Sole or First Inventor |  |  |
|-------------------------------------|--|--|
| Family Name<br>Nykänen              | First Given Name<br>Petri                | Second Given Name                                |
| Residence and Citizenship           |  |  |
| City of Residence<br>Nokia          | State or Country of Residence<br>Finland | Country of Citizenship<br>Finland                |
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| Full Name of Third Inventor, if any |  |  |
|-------------------------------------|--|--|
| Family Name<br>Toijala              | First Given Name<br>Jouni                | Second Given Name                                |
| Residence and Citizenship           |  |  |
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1. ☒ Enclosed is a continuation-in-part patent application containing 49 pages of specification, 8 pages of 40 claims, 18 sheets of formal drawings, and 1 page of abstract. This application is a continuation-in-part of Application Serial No. 09/996,406, filed November 20, 2001, which is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. Also enclosed is a copy of the Unsigned Declaration and Power of Attorney.
2. ☐ Cancel original claims of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the next number after the highest numbered original claim in the prior application.)
4. ☐ Please amend the specification by inserting the following paragraph after the title:  
  

This application is a continuation divisional of application serial  
number , filed . The application is incorporated herein by reference.
5. ☐ Small entity status
  - a. ☐ A small entity statement is enclosed.
  - b. ☐ A small entity statement was filed in the prior non provisional application.
  - c. ☐ is no longer claimed.

The filing fee is calculated below

| CLAIMS FILED                     |              |              |                      |           |                    |
|----------------------------------|--------------|--------------|----------------------|-----------|--------------------|
|                                  | Total Claims | In Excess of | Extra Claims Present | Rate      | Fees               |
| <b>Basic Filing Fee</b>          |              |              |                      |           | <b>\$ 740.00</b>   |
| <b>Total Claims</b>              | 40           | 20           | 20                   | X \$18.00 | \$ 360.00          |
| <b>Indep. Claims</b>             | 8            | 3            | 5                    | X \$84.00 | \$ 420.00          |
| <b>Multiply Dependent Claims</b> |              |              |                      |           | \$ 0.00            |
| <b>TOTAL FEES</b>                |              |              |                      |           | <b>\$ 1,520.00</b> |

6. ☒ Payment of fees
  - ☒ A check in the amount of \$1,520.00 is enclosed.
  - ☐ Please charge Deposit Account Number 50-1038.
  - ☐ Is deferred
7. ☒ The Commissioner is hereby authorized to credit any overpayment or charge any fees required under 37 C.F.R. §1.16-1.18 to Deposit Account Number 50-1038.
8. ☐ The priority of application number, filed , is claimed under 35 U.S.C. §119.  
☐ A certified copy has been filed in prior application number , filed .

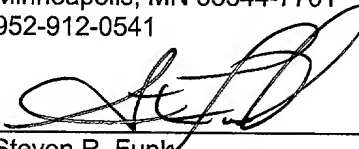
9. ☐ The prior application is assigned of record to Nokia Corporation recorded at reel and frame .
10. ☐ This application is filed by fewer than all the inventor named in the prior application. Please delete the following inventors named in the prior nonprovisional application.
11. ☐ An Information Disclosure Statement, Form PTO 1449 and copies of citations are enclosed.
12. ☒ New Correspondence Address
13. ☒ Address all correspondence to Steven R. Funk.
14. ☐ A petition to extend the term in the prior application from to is enclosed. A check in the amount of for the above reference extension is enclosed.
15. ☐ Also enclosed: a copy of the Form PTO-1449 submitted during prosecution of the parent application
16. ☒ A return postcard is enclosed.

Respectfully submitted,

Altera Law Group  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344-7701  
952-912-0541

Date: January 11, 2002

By:

  
Steven R. Funk  
Reg. No. 37,830  
SRF/kah